

National Stock Exchange Of India Limited**Department : Investor Services Cell**

Download Ref No: NSE/ISC/ 41759

Date : July 31, 2019

Circular Ref. No: 06/2019

To All Members

Sub : Enhanced Supervision of Stock Brokers – “Upload of fund balance and securities balance”

This is further to circular no. NSE/ISC/39309 dated October 31, 2018.

In view of introduction of Interoperability among Clearing Corporations, wherein Members are required to maintain consolidated client ledgers across all Exchanges, members are requested to take note of the below:

The Members shall enter “NA” or ‘0’ in the field provided for ‘Fund balance (NSE) (Rs.)’ in file ‘C’ (consolidated file) while uploading monthly Clients’ funds and securities balance. The same shall be applicable for files being uploaded for the month of July 2019 and onwards.

Detailed FAQ in this regard has been issued vide circular ref no. NSE/INSP/41498 dated July 03, 2019.

All members are requested to upload the data as on last trading day of the month within seven calendar days from the last trading day of the previous month.

For any clarifications, members may contact the Investor Services Cell of the Exchange.

For and on behalf of
National Stock Exchange of India Limited

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