

**NSE Clearing Limited**

(Formerly known as National Securities Clearing Corporation Limited)

**Department: FUTURES AND OPTIONS SEGMENT**

Download Ref No: NCL/CMPT/43945

Date: March 23, 2020

Circular Ref. No: 047/2020

All Members/Custodians/PCM

**Sub: Additional Reports for levy of Penalty due to MWPL violation**

In continuation with our circular ref no. 044/2020 (Download Ref No: NCL/CMPT/43967) dated March 20, 2020, member shall be provided additional reports for positions on which penalty is levied as per the above mentioned circular. The format for report shall be as per annexure.

**For and on behalf of**  
**NSE Clearing Limited**  
(Formerly known as National Securities Clearing Corporation Limited)

Huzefa Mahuvawala  
Vice President

| <b>Telephone No</b> | <b>Fax No</b> | <b>Email id</b>  |
|---------------------|---------------|--|
| 1800 266 0057       | 022-26598243  | <a href="mailto:risk_ops@nscl.co.in">risk_ops@nscl.co.in</a> |

## Annexure

*Trading Member File Format: F\_MWPLVIOL01\_<mem\_cd>\_YYYYMMDD.csv*

*Clearing Member File Format: F\_MWPLVIOL02\_<mem\_cd>\_YYYYMMDD.csv*

*File location: /FAOFTP/F<MEMBER CODE>/Letters/Dnld*

### Record Headers

DATE

CLEARING\_MBR\_CODE

TRADING\_MEMBER\_CODE

CLIENT\_CODE

INSTRUMENT\_TYPE

SYMBOL

EXPIRY\_DATE

STRIKE\_PRICE

OPTION\_TYPE

CRA\_LVL

VIOLATION\_QTY